

CAPITAL A WEALTH MANAGEMENT, LLC

PRIVACY POLICY

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. All financial companies need to share clients' personal information to run their everyday business. Capital A Wealth Management, LLC ("Capital A") is providing this notice. In the section below, Capital A Wealth Management, LLC will list the reasons financial companies can share their clients' personal information; the reasons Capital A chooses to share and whether you can limit this sharing. Please read this notice carefully to understand our policy. The following document outlines what Capital A does with your personal information. The types of personal information we collect and share depends on the product or service you have with us. This information can include, but is not limited to:

- Social Security number and income;
- Assets and transaction history; and
- Investment experience and risk tolerance.

If you are a new client, we can begin sharing your information from the date we sent this notice. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing by calling our main office at 724-658-4211.

Reasons we can share your personal information	Do we share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes — to offer our products and services to you	YES	YES
For joint marketing with other financial companies	YES	YES
For our affiliates' everyday business purposes — information about your transactions and experiences	YES	YES
For our affiliates' everyday business purposes — information about your creditworthiness	NO	We do not share
For our affiliates to market to you	NO	We do not share
For non-affiliates to market to you	NO	We do not share

Capital A has the following Affiliated Entities related by common ownership or control:

Capital A Insurance, LLC, Capital A Tax Solutions, LLC

Capital A has the following Non-Affiliated Entities -not related by common ownership or control:

NONE

Capital A has the following Joint Marketing Relationships where a formal agreement is in place with nonaffiliated financial companies that together market financial products or services to you:

NONE

Frequently Asked Questions:

How does Capital A protect my information?

To protect your personal information from unauthorized access and use, we restrict access to your nonpublic personal information to those employees who need to know that information to service your account. We also maintain physical, electronic and procedural safeguards that comply with applicable federal or state standards to protect your nonpublic personal information.

How does Capital A collect my personal information?

We collect your personal information, for example, when you: open an account or give us contact information; enter into an investment adviser contract or give us your income information; tell us about your investment or retirement portfolio. We also collect your personal information from other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes—information about your creditworthiness; affiliates from using your information to market to you; sharing for non-affiliates to market to you. State laws and individual companies may give you additional rights to limit sharing

Other Important Information:

By signing Capital A Agreement, I acknowledge that I have fully read and understand this Privacy Policy and opt-in as outlined above. I understand that if I have any questions or concerns about this policy, it is my responsibility to discuss this with my financial professional.

Effective Date: February 2026

Capital A is committed to protecting your privacy. This SMS Privacy Policy explains how we collect, use, disclose, and safeguard your information when you opt in to receive SMS messages from us.

Information We Collect

When you opt into our SMS program, we may collect the following information:

- Your phone number
- Any other information you provide to us through SMS.

How We Use Your Information

We use the information we collect to:

- Send you SMS messages related to our services, events, and updates.
- Respond to your inquiries and provide customer support.

Data Sharing

We do not sell or rent your personal information to third parties. However, we may share your information with trusted service providers, such as SMS delivery platforms.

Opt-Out of SMS Communication

You can opt out of receiving SMS messages at any time by texting "STOP" to 724-658-4211. After opting out, you will no longer receive SMS messages, except for transactional messages related to your account.

Data Security

We use industry-standard security measures to protect your personal information. We implement appropriate technical and organizational measures to protect your information from unauthorized access, use, or disclosure.

Your Rights

You have the right to access, update, or delete your personal information by contacting us directly. If you wish to exercise these rights, please email us at info@ca-wm.com.

- If you begin texting with your Capital A representative, you are "OPTING IN" to text messaging.
- Opt out of receiving SMS messages from us at any time by replying "STOP" to any message you receive.
- For assistance when communicating with us using SMS, reply "HELP" to any message you receive or call 724-658-4211.

Changes to This Policy

We may update this SMS Privacy Policy from time to time. We will notify you of any changes by posting the new policy on our website and updating the effective date.

Contact Us

If you have any questions or concerns about this SMS Privacy Policy, please contact us at: 724-658-4211.