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## Part 2B Brochure for David Albert Domenick Jr

October 20, 2023

This brochure supplement provides information about Capital A Wealth Management (“Capital A”) that supplements our brochure. You should have received a copy of that brochure. Please contact us at (724) 658-4211 if you did not receive Capital A’s brochure or if you have any questions about the contents of this supplement. Additional information about David Domenick Jr is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 5960853.

## **ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Name: David Domenick Jr

Year of Birth: 1990

Education: Indiana University of Pennsylvania  
B.S. in Financial and Legal Studies, 2013

### **Business**

Background: Capital A Wealth Management, LLC  
Managing Member, 01/2020 – Present  
Registered Investment Adviser, 10/2023 – Present

Capital A Insurance, LLC  
Managing Member, 01/2020 – Present

Madison Avenue Securities, LLC  
Registered Representative, 11/2017 – Present

AE Wealth Management, LLC  
Investment Adviser Representative, 11/2017 – 10/2023

Capital A Financial & Insurance Associates, Inc. Managing  
Partner/Owner, 9/2015 – 01/2020

SA Stone Investment Advisors, Inc.  
Investment Adviser Representative, 2/2016 – 11/2017

WRP Investments, Inc.  
Registered Representative, 4/2015 – 11/2017

Sterne Agee Financial Services, Inc.  
Registered Representative, 4/2015 – 11/2017

## **ITEM 3- DISCIPLINARY HISTORY**

David Domenick Jr. does not have a history of any investment related legal or disciplinary events that may deem to be material to a client's consideration of David Domenick Jr to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of David Domenick Jr. <https://brokercheck.finra.org/>

## **ITEM 4- OTHER BUSINESS ACTIVITIES**

David Domenick Jr holds an insurance license to sell insurance products and is the Managing Member of Capital A Insurance, LLC, an affiliated licensed insurance agency. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He will receive compensation from selling insurance

Capital A Wealth Management, LLC

products and therefore receive economic benefit for this activity. This activity may create a conflict of interests with clients. The client is under no obligation to purchase insurance through David Domenick Jr on a commissionable basis. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation to be paid by the client and/or received by the insurance agent. Clients have the right to decide whether to act on the recommendation and the right to purchase any insurance products through the insurance agent of their choice. The Firm and its Investment Adviser Representative will always act in the best interest of the client.

David Domenick Jr is a registered representative of Madison Avenue Securities, LLC (“Madison Avenue”), a securities broker/dealer, and a member of the Financial Industry Regulatory Authority, Inc. (“FINRA”) and an investment adviser registered with the Securities Exchange Commission.

As a broker-dealer, Madison Avenue engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by Joel Nesbitt, investments in securities will be recommended for you. If Madison Avenue is selected as the broker-dealer, it will affect transactions in securities for you, a client of Capital A Wealth Management and David Domenick Jr. By serving as the broker-dealer, Madison Avenue and David Domenick Jr will receive commissions for executing securities transactions. You are advised that if Madison Avenue is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You should note, however, that you have the right to not purchase securities through David Domenick Jr Capital A Wealth Management or Madison Avenue.

David Domenick Jr will provide advice regarding investment company securities. You should be aware that, in addition to the advisory fees paid by you, each investment company also charges its own separate investment advisory fees and other expenses (internal management fees). In addition, you should be aware that mutual funds may be purchased separately independent of the investment management services of Capital A Wealth Management.

David Domenick Jr, in his capacity as a registered representative of Madison Avenue, or as agents appointed with various life, disability or other insurance companies, receives commissions, 12(b) -1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. However, you should note that you have the right to not purchase any investment products through Mr. Domenick Jr. On any advisory accounts through Capital A Wealth Management David Domenick Jr will not receive any other compensation.

David Domenick Jr is co-author of *Capitalize on Retirement: Make the Most of Your Life Savings With Capital A Wealth Management*. Mr. Domenick does not receive compensation for the sale of this book.

#### **ITEM 5- ADDITIONAL COMPENSATION**

Mr. Domenick does not receive additional compensation beyond the scope of his role as your investment adviser representative and items listed in Item 4.

#### **ITEM 6- SUPERVISION**

David Domenick, Jr. is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Michael D. Richards, Jr., who is responsible for administering the policies and procedures. As Chief Compliance Officer Mr. Richards reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Michael D. Richards, Jr. may be reached at (724) 658-4211.